



PATENT #3

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Sheehan et al.

Attorney Docket No.: 1011U-1

Application No.: 10/042,406

Examiner: Not assigned

Filed: January 9, 2002

Group: Not assigned

Title: ALGORITHMS FOR SELECTION OF  
PRIMER PAIRS

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the  
United States Postal Service as First Class Mail to: Commissioner for  
Patents, Washington, DC 20231 on 7-11-02Signed: *Louise J. Perry*

**COMMUNICATION UNDER 37 C.F.R. §1.28(c)**  
**PAYMENT OF FEE DEFICIENCY PURSUANT TO C.F.R. §1.28(c)**  
**- CHANGE IN ENTITY STATUS -**

Commissioner for Patents  
Washington, D.C. 20231

Adjustment date: 07/19/2002 AHONDAF1  
01/17/2002 ERAILE1 00000007 10042406  
01 FC:201 -370.00 OP  
02 FC:203 -135.00 OP  
03 FC:204 -140.00 OP

Sir:

Adjustment date: 07/19/2002 AHONDAF1  
03/19/2002 BABRAMA1 00000009 10042406  
01 FC:205 -65.00 OP

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Applicants request authorization to pay supplementary fees and consideration of the  
remarks set forth below under 37 C.F.R. §1.28(c).

**REMARKS**

Small entity status was claimed in good faith in the above application and other related  
cases with the same priority claim.

Applicants hereby withdraw the claim for small entity status and request the status be  
changed from small entity status to large entity status (MPEP 509.03, 7<sup>th</sup> ed., rev. 1, February,  
2000). Applicants also submit supplemental large entity fees as follows.

Paper	Date	Fee paid	Current Fee	Difference
Filing Fee	01/09/02	\$370.00	\$740.00	\$370.00
Extra Claims Fee	01/09/02	\$135.00	\$270.00	\$135.00
Multiple Dependent Claim	01/09/02	\$140.00	\$280.00	\$140.00

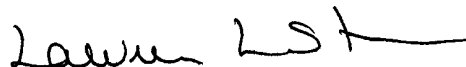
07/19/2002 AHONDAF1 00000056 502043 10042406  
01 FC:101 30.00 CH  
02 FC:105 130.00 CH  
03 FC:103 270.00 CH  
04 FC:104 280.00 CH  
710.00 OP

Fee				
Declaration Surcharge	03/14/02	\$65.00	\$130.00	\$65.00
Total	--	\$710.00	\$1420.00	\$710.00

The Commission is authorized to charge \$710.00 to our Deposit Account No. 502043. This is the amount believed sufficient to make up the deficiency between the amount of the fees paid and the amount of fees that would be due at the large entity rate. Please credit any overpayment to the same account. The Commissioner is authorized to charge any other fees that may be due to our Deposit Account No. 502043.

If additional issues need to be addressed, please telephone the undersigned at (650) 625-4555. Applicants request authorization to pay supplementary fees and consideration of the remarks set forth below under 37 C.F.R. §1.28(c).

Respectfully submitted,



Lauren L. Stevens, Ph.D.  
Reg. No. 36,691

Perlegen Sciences, Inc.  
2021 Stierlin Court  
Mountain View, CA 94043



## UNITED STATES PATENT AND TRADEMARK OFFICE

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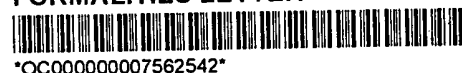
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/042,406	01/09/2002	John B. Sheehan	HO-P02296US1 (10107967/10)

CONFIRMATION NO. 9034

26271  
FULBRIGHT & JAWORSKI, LLP  
1301 MCKINNEY  
SUITE 5100  
HOUSTON, TX 77010-3095

Received
MAR 08 2002
Docket: P02296US1
Client: Perlegen
Attorney: mls

## FORMALITIES LETTER



\*OC000000007562542\*

Date Mailed: 03/01/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

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An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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